



PRACTICAL EXECUTION OF TBML PRACTICES IN BANKS (WOLFSBERG PRINCIPLES) (VIRTUAL)

Course Date: February 21-24, 2021 (Sunday-Wednesday)

Duration: 4 Days (16 hours)

Timing: 02:00p.m to 06:00pm

Location: Virtual (Participants' own location)

Key Learning Objectives:

On completion of this course the participants will be able to:

- To visualize and execute the various FATF guidelines in their respective banks
- Build an implementation plan on the Risk Based Approach advised by FATF
- Understand in detail the practical aspects of execution as defined within the updated Wolfsberg principles

Target Participants:

Staff who are in senior/supervisory roles in the Trade Finance function OR in a TBML policy role in the Compliance function

Pre-requisites:

Good knowledge of trade finance products and overall awareness about TBML within these products. Familiarity with FATF guidelines

Contents Highlights:

- Introduction to Wolfsberg principles
- Control mechanisms and application of 3 lines of defence
- Discussion on the glossary and various terminologies within TBML
- Risks, CDD, EDD and controls implementation in a Letter of Credit scenario – Banks on both sides
- Challenges, DD, EDD and controls implementation in a Documentary Collections scenario
- Monitoring, review and controls implementation in a Bank Guarantee / SBLC scenario
- Risks, DD, EDD and possibility of controls implementation in an Open Account scenario



Trainer's Profile:



Mr. K. Subramanian

Founder and CEO of NumeroUno Business Consultants, a niche training and advisory firm in India. He has vast experience of over 20 years in banking with SBI, IDBI Bank, ICICI Bank and Barclays Bank and 9 years as a corporate trainer and consultant across India, Dubai, Sri Lanka, Bangladesh and Oman. A commerce graduate, he has also done his master's in finance and has various certifications in credit, foreign exchange and money laundering. His areas of training specialisation are financial analysis, international trade, foreign exchange and collections.

Training Methods:

Lecture, Power Point presentation, group discussions, case studies, video, quiz

Certification:

CBFS certificate of completion for participants who attend all days of training

Fee per participant:

RO 350/- payable in advance *(Covers Training fee, soft copy of learning materials of the trainer, certificate of participation for participants who attend all days of training).*

Cancellation policy:

No cancellation of nominations is possible after nominations are confirmed but substitution is permissible up to 48 hours before the start date of the training.

Technical Requirements for participation:

Participants should have a PC/Laptop with email access (preferably Outlook or Gmail) and with access to external links (since they will be sent a link to join the training through Zoom). It is preferable for participants to be working through WiFi as data consumption will be high. Mobile phones are discouraged due to poor visibility (especially of slide presentations) and external disturbances. The personal email addresses and mobile numbers (with whatsapp facility) of the participants

For registrations and enquiries, please contact:

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